Proton Spin and Mass Decompositions and Neutrino-Nucleon Scattering from Lattice QCD

- Synopsis of Lattice QCD
- Proton Spin Decomposition -- Quark and Glue Spin, Orbital Angular Momentum
- Proton Mass Decomposition Quark Condensate, Quark and Glue energies, and Trace Anomaly
- Neutrino-Nucleon Scattering -- Hadronic Tensor
 - Inverse Problem

χ QCD Collaboration



Michigan State University, Feb. 11, 2020



Theory of Strong Interactions : QCD

The force between quarks becomes weak for small
quark separations--- a phenomenon known asAsymptotic Freedom.......Nobel Prize 2004



Confinement



Quark Confinement











The Understanding cannot See.
The Senses cannot Think.
By their union only can Knowledge be produced."

— Immanuel Kant

"*Imagination* is more important than *Knowledge*."

- Albert Einstein





Lattice QCD

Why Lattice?

- Regularization
 - Lattice spacing a
 - − Hard cutoff, $p \le \pi/a$
 - Scale introduced (dimensional transmutation)
- Renormalization
 - Perturbative
 - Non-perturbative
 - Regularization independent Scheme Schroedinger functional Current algebra relations



- Quantum field theory classical statistical mechanics
- Monte Carlo simulation in Euclidean space (importance sampling)





Continuum and Infinite Volume Limits at Physical Pion Mass (Systemic Errors)













 $a \to 0$





Where does the spin of the proton come from?

Thirty years since the "spin crisis"

EMC experiment in 1988/1989 – "the plot":



$$g_1(x) = \frac{1}{2} \sum_{q} e_q^2 \left[\Delta q(x) + \Delta \overline{q}(x) \right] + \mathcal{O}(\alpha_s) + \mathcal{O}(1/Q)$$
$$\Delta q = \int_0^1 dx \Delta q(x) = \langle P, s_{\parallel} | \overline{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(0) | P, s_{\parallel} \rangle$$

 \Box "Spin crisis" or puzzle: $\Delta \Sigma = \sum_{i=1}^{n}$



Scanned at the American Institute of Physics





Anisotropy at a surface



- Free atomic spin is rotationally invariant: all spin orientations are degenerate.
- Loss of rotational symmetry breaks degeneracy of spin orientations.

$$H = -g\mu_{B} \overset{\mathbf{w}}{B} \cdot \overset{\mathbf{w}}{S} + DS_{z}^{2}$$

$$B \parallel z \qquad \qquad B \perp z \qquad = B \qquad z \qquad = B \qquad$$

Magnetic field dependence varies with angle of magnetic field.

Picture from quark model to QCD



Lattice Calculations of Quark and Glue Spins

• Quark and Glue Momentum and Angular Momentum in the Nucleon $(\overline{u}\gamma_{\mu}D_{\nu}u + \overline{d}\gamma_{\mu}D_{\nu}d)(t)$



Quark Spin Components \overline{MS} (2 GeV)

g _A	∆(u+d) CI	Δ(u/d) DI	Δs	Δu	Δd	$\Delta u - \Delta d$ (g_A^3)	ΔΣ
PNDME			-0.053 (8)	0.777 (25)(30)	-0.438 (18)(30)	1.128 (27)(30)	0.286 (62)(72)
C. Alexandrou	0.598 (24)(6)	-0.077 (15)(5)	-0.042 (10)(2)	0.830 (26)(4)	-0.386 (16)(6)	1.216 (31)(7)	0.402 (34)(10)
χ QCD	0.580 (16)(30)	-0.070 (12)(15)	-0.035 (6)(7)	0.847 (18)(32)	-0.407 (16)(18)	1.254 (16)(30)	0.405 (25)(37)
NPPDFpol1.1 (Q ² =10 GeV ²)			-0.10 (8)	0.76 (4)	-0.41 (4)	1.17 (6)	0.25 (10)
DSSV (Q ² =10 GeV ²)			-0.012 +(56)-(62)	0.793 +(28)-(34)	-0.416 +(35)-(25)	1.209 +(45)-(42)	0.366 +(62)-(42)

PNDME, N_F=2+1, Clover fermion, multiple ensembles, m_{π} = 315 - 135 MeV

C. Alexandrou et al., N_F=2, twisted mass fermion, , m_{π} = 131 MeV, one lattice

 χ QCD, N_F=2+1, Overlap fermion, , m_{π} = 170, 290, 330 MeV, 5 - 6 valence quarks for each of the three lattices \rightarrow non-perturbative renormalization and normalization with anomalous Ward identity

Expt. $g_A^3 = 1.2723(23)$; CalLat: $g_A^3 = 1.271(13)$

Momenta and Angular Momenta of Quarks and Glue

Energy momentum tensor operators decomposed in quark and glue parts gauge invariantly --- Xiangdong Ji (1997)

$$T_{\mu\nu}^{q} = \frac{i}{4} \left[\bar{\psi} \gamma_{\mu} \vec{D}_{\nu} \psi + (\mu \leftrightarrow \nu) \right] \rightarrow \vec{J}_{q} = \int d^{3}x \left[\frac{1}{2} \bar{\psi} \vec{\gamma} \gamma_{5} \psi + \vec{x} \times \bar{\psi} \gamma_{4} (-i\vec{D}) \psi \right]$$

$$\Gamma^{g}_{\mu\nu} = F_{\mu\lambda}F_{\lambda\nu} - \frac{1}{4}\delta_{\mu\nu}F^{2} \qquad \rightarrow \vec{J}_{g} = \int d^{3}x \left[\vec{x} \times (\vec{E} \times \vec{B})\right]$$

Nucleon form factors

$$\left\langle p, s \mid T_{\mu\nu} \mid p's' \right\rangle = \overline{u}(p,s) [T_1(q^2)\gamma_\mu \overline{p}_\nu - T_2(q^2)\overline{p}_\mu \sigma_{\nu\alpha} q_\alpha / 2m$$

-iT_3(q^2)(q_\mu q_\nu - \delta_{\mu\nu} q^2) / m + T_4(q^2) \delta_{\mu\nu} m / 2] u(p's)

 $ightarrow J_{_{a/g}}(\mu,$

Momentum and Angular Momentum

$$Z_{q,g}T_1(0)_{q,g} \left[\text{OPE} \right] \rightarrow \left\langle x \right\rangle_{q/g} (\mu, \overline{\text{MS}}), Z$$

Proton Spin Decomposition (2+1 Flavor)



Approximate by setting $T_2 = 0$

Symbols for Proton Spin Components



Skyrmion, a topological soliton



Trinacria – symbol of Sicily

Where does the proton mass come cfrom?

Proton mass



Χ





The Higgs boson make the u/d quark having masses (2GeV MS-bar):

> m_u = 2.08(9) MeV m_d = 4.73(12) MeV

Laiho, Lunghi, & Van de Water, Phys.Rev.D81:034503,2010

Quark and Glue Components of Hadron Mass

Energy momentum tensor

$$T_{\mu\nu} = \frac{1}{4} \overline{\psi} \gamma_{(\mu} \vec{D}_{\nu)} \psi + G_{\mu\alpha} G_{\nu\alpha} - \frac{1}{4} \delta_{\mu\nu} G^2 \qquad \langle P \mid T_{\mu\nu} \mid P \rangle = P_{\mu} P_{\nu} / M$$

Trace anomaly

 $T_{\mu\mu} = -m(1+\gamma_m)\overline{\psi}\psi + \frac{\beta(g)}{2g}G^2$

Separate into traceless part $\overline{T}_{\mu\nu}$ and trace part $\hat{T}_{\mu\nu}$

$$\langle P | \overline{T}_{\mu\nu}^{q,g} | P \rangle = \langle x \rangle_{q,g} (\mu^2) (P_{\mu} P_{\nu} - \frac{1}{4} \delta_{\mu\nu} P^2) / M, \quad \langle x \rangle_q (\mu^2) + \langle x \rangle_g (\mu^2) = 1$$

$$\langle \overline{T}_{44} \rangle = -3/4M; \quad \langle \hat{T}_{\mu\mu} \rangle = -M$$

Proton mass decomposition

$$M = -\langle T_{44} \rangle = \langle H_m \rangle + \langle H_E \rangle(\mu) + \langle H_g \rangle(\mu) + \frac{1}{4} \langle H_a \rangle$$

$$M = -\langle \hat{T}_{\mu\mu} \rangle = \langle H_m \rangle + \langle H_a \rangle$$

$$X. Ji, PRL74:1071 (1995)$$

$$M = -\langle \hat{T}_{\mu\mu} \rangle = \langle H_m \rangle + \langle H_a \rangle$$

$$\langle x \rangle_{q,g} = \int_0^1 dx x f_{q,g}(x) = -\frac{\langle N | \frac{4}{3} \tilde{T}_{44}^{qg} | N \rangle}{M \langle N | N \rangle}$$

$$quark \text{ energy}$$

$$\langle H_E \rangle = \frac{3}{4} \left(\langle x \rangle_q M - \langle H_m \rangle \right)$$

$$\tilde{T}_{44}^q = \int d^3 x \bar{\psi} \frac{1}{2} \left(\gamma_4 \hat{D}_4 - \frac{1}{4} \sum_{i=0,1,2,3} \gamma_i \hat{D}_i \right) \psi$$

$$\tilde{T}_{44}^g = \int d^3 x \frac{1}{2} \left(E^2 - B^2 \right)$$

$$H_B \rangle = \langle H_B^a \rangle + \langle H_M^a \rangle$$

$$\langle H_B^a \rangle = \int d^3 x \frac{-\beta(g)}{g} (E^2 + B^2)$$

$$\langle H_B^a \rangle = \int d^3 x \gamma_m m \bar{\psi} \psi$$

- ✦ scalar charge
- momentum fractions (both quark and glue)
- renormalization of momentum fractions including mixing

Non-perturbative Renormalization and Mixing

• Renormalized $\langle x \rangle_q$ and $\langle x \rangle_q$ in MS-bar at μ

$$\langle x \rangle_{u,d,s}^R = Z_{QQ}^{\overline{\text{MS}}}(\mu) \langle x \rangle_{u,d,s} + \delta Z_{QQ}^{\overline{\text{MS}}}(\mu) \sum_{q=u,d,s} \langle x \rangle_q + Z_{QG}^{\overline{\text{MS}}}(\mu) \langle x \rangle_g, \ \langle x \rangle_g^R = Z_{GQ}^{\overline{\text{MS}}}(\mu) \sum_{q=u,d,s} \langle x \rangle_q + Z_{GG}^{\overline{\text{MS}}}\langle x \rangle_g,$$

$$\begin{pmatrix} Z_{QQ}^{\overline{\mathrm{MS}}}(\mu) + N_f \delta Z_{QQ}^{\overline{\mathrm{MS}}}(\mu) & N_f Z_{QG}^{\overline{\mathrm{MS}}}(\mu) \\ Z_{GQ}^{\overline{\mathrm{MS}}}(\mu) & Z_{GG}^{\overline{\mathrm{MS}}}(\mu) \end{pmatrix} \equiv \left\{ \begin{bmatrix} \left(\begin{array}{cc} Z_{QQ}(\mu_R) + N_f \delta Z_{QQ} & N_f Z_{QG}(\mu_R) \\ Z_{GQ}(\mu_R) & Z_{GG}(\mu_R) \end{array} \right) \\ \left(\begin{array}{cc} R_{QQ}(\frac{\mu}{\mu_R}) + \mathcal{O}(N_f \alpha_s^2) & N_f R_{QG}(\frac{\mu}{\mu_R}) \\ R_{GQ}(\frac{\mu}{\mu_R}) & R_{GG}(\frac{\mu}{\mu_R}) \end{array} \right) \right] |_{a^2 \mu_R^2 \to 0} \right\}^{-1}$$

 Renormalization of glue operator in gluon propagator is very noisy → Cluster Decomposition Error Reduction (CDER)

Comparison with Global Fitting of <x> MS-bar at 2 GeV





χ QCD, preliminary

Proton Mass Decomposition



Y.B. Yang et al (χ QCD), PRL 121, 212001 (2018)

PRL as Editor's Suggestion (Y.B. Yang, PRL 121, 212001 (2018))



11/26/2018

Physicists finally calculated where the proton's mass comes from I Science News



Viewpoint: Dissecting the Mass of the Proton

<u>André Walker-Loud</u>, Nuclear Science Division, Lawrence Berkeley National Laboratory, Berkeley, CA, USA

November 19, 2018 • Physics 11, 118

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Figure 1: The proton is comprised of two up quarks and one down quark, but the sum of these quark masses is a mere 1% of the proton mass. Using lattice QCD, Yang and colleagues determined the relative contributions of the four sources of the proton mass [1]. ... Show more

News: Particle Physics

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Only 9 percent of the subatomic particle's bulk comes from the mass of its quarks

By Emily Conover 6:00am, November 26, 2018



MASSIVE UNDERTAKING Using a technique called lattice QCD, scientists figured out how protons (illustrated here in the nucleus of an atom) get their mass.

ktsdesign/Shutterstock

Hadronic Tensor in Euclidean Path-Integral Formalism

 Deep inelastic scattering In Minkowski space

$$\frac{d^2\sigma}{dE'd\Omega} = \frac{\alpha^2}{q^4} \left(\frac{E'}{E}\right) l^{\mu\nu} W_{\mu\nu}$$

$$W_{\mu\nu}(\vec{q},\vec{p},\nu) = \frac{1}{\pi} \operatorname{Im} T_{\mu\nu} = \langle N(\vec{p}) | \int \frac{d^4x}{4\pi} e^{iq\cdot x} J_{\mu}(x) J_{\nu}(0) | N(\vec{p}) \rangle_{\text{spin avg}}$$
$$= \frac{1}{2} \sum_{n} \int \prod_{i=1}^{n} \left[\frac{d^3 p_i}{(2\pi)^3 2E_{pi}} \right] (2\pi)^3 \delta^4(p_n - p - q) < N(\vec{p}) | J_{\mu} | n > < n | J_{\nu} | N(\vec{p}) >_{\text{spin avg}}$$

• Euclidean path-integral

KFL and S.J. Dong, PRL 72, 1790 (1994) KFL, PRD 62, 074501 (2000)



Hadronic tensor on the lattice

four-point function with 3-dimensional Fourier transform

$$C_4 = \sum_{\vec{x}_f} e^{-i\vec{p}\cdot\vec{x}_f} \sum_{\vec{x}_2 \vec{x}_1} e^{-i\vec{q}\cdot(\vec{x}_2 - \vec{x}_1)} \left\langle \chi_N(\vec{x}_f, t_f) J_{\mu}^{\dagger}(\vec{x}_2, t_2) J_{\nu}(\vec{x}_1, t_1) \bar{\chi}_N(\vec{0}, t_0) \right\rangle$$

Euclidean hadronic tensor defined as a function of time difference between the currents

$$\tilde{W}_{\mu\nu}(p,\vec{q},\tau) = \frac{E_p}{m_N} \frac{\operatorname{Tr}[\Gamma_e C_4]}{\operatorname{Tr}[\Gamma_e C_2]} \to \sum_{\vec{x}_2 \vec{x}_1} e^{-i\vec{q} \cdot (\vec{x}_2 - \vec{x}_1)} \langle p, s | J_\mu(\vec{x}_2, t_2) J_\nu(\vec{x}_1, t_1) | p, s \rangle$$
$$= \sum_{n} A_n e^{-(E_n - E_p)\tau}, \ \tau \equiv t_2 - t_1$$

Solving the **inverse problem** of a Laplace transform to get back to Minkowski space

$$\tilde{W}_{\mu\nu}\left(p,\vec{q},\tau\right) = \int d\nu W_{\mu\nu}\left(p,\vec{q},\nu\right) e^{-\nu\tau}$$

K.F. Liu and S. J. Dong, PRL 72, 1790 (1994)

K.-F. Liu, PRD 62, 074501 (2000)

J. Liang et. al., EPJ Web Conf. 175, 14014 (2018)

J. Liang et. al., arXiv:1906.05312



Cat's ears diagrams are suppressed by $O(1/Q^2)$.

Inverse problems are ubiquitous

• Extracting spectral functions from lattice data: $c_2(t) = d\omega e^{-\omega t} \rho(\omega)$

• Global fittings of PDFs: $F_i = \sum C_i^a \otimes f_a$

• Lattice calculation of Quasi-PDFs: $\tilde{q}(x, P_3) = \frac{2P_3}{4\pi}$

Y. Burnier and A. Rothkopf, PRL 111, 182003 (2013)

$$\sum_{=-z_{\max}}^{z_{\max}} e^{-ixP_3 z} h_{\Gamma}(P_3, z)$$

J. Karpie et. al., JHEP04, 057 (2019)

$$\widetilde{q}(x,\mu^2,P^z) = \int_0^1 \frac{dy}{y} Z\left(\frac{x}{y},\frac{\mu}{P^z}\right) q(y,\mu^2) + \mathcal{O}\left(\Lambda^2/(P^z)^2, M^2/(P^z)^2\right)$$

X. Xiong et. al., PRD90:014051 (2014)

Lattice cross sections:

$$\sigma_n(\omega,\xi^2,P^2) = \sum_a \int_{-1}^1 \frac{dx}{x} f_a(x,\mu^2) \times K_n^a(x\omega,\xi^2,x^2P^2,\mu^2) + O(\xi^2 \Lambda_{\text{QCD}}^2)$$

Y.-Q. Ma and J.-W. Qiu, PRL120, 022003 (2018)

• Lattice calculation of Pseudo-PDFs: $\mathfrak{M}_R(\nu,\mu^2) \equiv \int_0^1 dx \, \cos(\nu x) \, q_v(x,\mu^2)$

K. Orginos et al., PRD96, 094503 (2017)

Sketch the hadronic tensor



Hadronic tensor and neutrino-nucleus scattering

New long-baseline neutrino experiments are in preparation: T2K, NOvA, PINGU, ORCA, Hyper-Kamiokande, DUNE...



Hadronic tensor



for lepton-nucleon scatterings

$$\frac{d^2\sigma}{dxdy} = \frac{2\pi y\alpha^2}{Q^4} \sum_j \eta_j L_j^{\mu\nu} W_{\mu\nu}^j$$

$$W_{\mu\nu}\left(p,\vec{q},\nu\right) = \frac{1}{4\pi} \int d^4z e^{iq\cdot z} \left\langle p,s \left| \left[J^{\dagger}_{\mu}(z)J_{\nu}(0) \right] \right| p,s \right\rangle \sim \operatorname{Im}\left[T_{\mu\nu} \right]$$
$$W_{\mu\nu} = \left(-g_{\mu\nu} + \frac{q_{\mu}q_{\nu}}{q^2} \right) F_1(x,Q^2) + \frac{\hat{P}_{\mu}\hat{P}_{\nu}}{P\cdot q} F_2(x,Q^2)$$

The hadronic tensor and structure functions encode the non-perturbative nature of the nucleon.

• Elastic form factors:
$$F_2^{\text{el}} = \delta(q^2 + 2m_N \nu) \frac{2m_N}{1 - q^2/4m_N^2} \left(G_E^2(q^2) - \frac{q^2}{4M_N^2} G_M^2(q^2) \right)$$

Neutrino-nucleon scattering

♦ PDFs from DIS:
$$F_i = \sum C_i^a \otimes f_a$$

a

Elastic FFs

$$\begin{split} \tilde{W}_{44}(\vec{p},\vec{q},\tau) &= \sum_{\vec{x}_{2}\vec{x}_{1}} e^{-i\vec{q}\cdot(\vec{x}_{2}-\vec{x}_{1})} \langle p,s \,| \, J_{\mu}(\vec{x}_{2},t_{2}) J_{\nu}(\vec{x}_{1},t_{1}) \,| \, p,s \rangle = \sum_{n} A_{n} e^{-\nu_{n}\tau} \\ A_{0} &= \langle p,s \,| \, J_{4}(\vec{q}) \,| \, n = 0 \rangle \langle n = 0 \,| \, J_{4}(-\vec{q}) \,| \, p,s \rangle = G_{E}^{2}(Q^{2}) \end{split}$$



FFs extracted from 3-point functions and 4-point functions show consistency.

(32IF lattice, a ~0.063 fm, pion mass ~370 MeV)

Le Taureau of Pablo Picasso (1945)





5th stage



Quenched approximation

11th stage

Dynamical chiral fermion Physical pion mass Continuum limit Infinite volume limit

Summary and Challenges

- Together with experiments on LHC and EIC and global fitting of PDF, lattice QCD calculations of hadron structure (proton spin and mass decomposition, moments of PDFs, form factors, etc.) can advance our understanding of the nucleon properties in more detail.
- Hadronic tensor calculation from lattice QCD involves a numerically challenging inverse problem. It can address the low-energy neutrinonucleon elastic scattering, the inelastic resonance region, the shallow inelastic as well as the deep inelastic region. The latter will require large lattices with lattice spacing as small as 0.02 fm. Together with experiments from DUNE, they can help us understand the salient properties of the neutrinos.

Imagination may not be relevant. *Knowledge* cannot predict.
By simulating *imagination* and
testing against *knowledge* only can *reality* be re-created.



Correlators

- One point collerators $\rightarrow \langle \bar{\psi}\psi \rangle, \langle G_{\mu\nu}G_{\mu\nu}\rangle \dots$
- Two point correlators → hadron masses, decay constants ...
- Three point correlators → parton moments form factors, nucleon matrix elements ...
- Four point correlators → hadronic tensor, PDF, GPD, TMD, nEDM...

Physics -

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